

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: IVEN125462
Application No.: 09/801,848 Art Unit: 3693 / Confirmation No: 1156
Filed: March 8, 2001 Examiner: J.M. Alpert
Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

AMENDMENT/RESPONSE TO RESTRICTION REQUIREMENT

Seattle, Washington 98101

March 2, 2007

TO THE COMMISSIONER FOR PATENTS:

INTRODUCTORY COMMENTS

In response to the Office Action mailed January 3, 2007, applicant submits the following amendments and remarks:

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PC}LLC
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100